

SPA & SPAC QUARTERLY UPDATE MEETING



SECOND QUARTER, 2011

Today's Agenda

- ▶ Introductions of new SPA Team Members
- ▶ NIH Fiscal Policy for FY11
- ▶ Coeus Updates, Tips, and Reminders
- ▶ Q&A Session

SPA Personnel Update

- ▶ Joan Kanner will be the Team C Manager starting May
- ▶ Jean Brent will be joining the Subcontract team and assisting AVP, SPA
- ▶ Since our last update meeting, Marie Coolahan has started as an Administrator on Team B
- ▶ Please help us in welcoming Joan, Jean and Marie

NIH FY11 Fiscal Policy – Award Levels

- ▶ **FY11 Appropriation Act** included a 1% cut to NIH budget from FY10 levels
- ▶ **Non-competing Research Awards**
 - ▶ Issued at 1% below FY10 level (NCI issued at 3% below FY10)
 - ▶ Inflationary adjustments for FY12 and beyond calculated at 2% of new FY11 level
 - ▶ FY11 awards already issued may be revised to reflect these funding levels
 - ▶ Applies to Research Awards only (not K, T, or F-series)
- ▶ **Competing Research Awards**
 - ▶ Each Institute/Center will manage its own portfolio
 - ▶ Estimate 9,050 new & competing research awards in FY11
 - ▶ Inflationary adjustments for FY12 and beyond calculated at 2% of FY11 level
 - ▶ Could see revised FY11 awards to reflect new funding levels

NIH FY11 Fiscal Policy – NRSA Stipends

- ▶ **FY11 NRSA Stipend levels reflect increase of 2% over FY10 levels**
 - ▶ Awards previously issued will be revised to reflect FY11 stipend level
 - ▶ Appointments on or after Oct 1, 2010 on Institutional Training Grants (T-series) must be revised to reflect FY11 stipend levels (after receipt of revised award)
 - ▶ Amended appointments must be processed via xTrain
 - ▶ Training Related Expense levels for T-series are unchanged (\$4,200 predoc and \$7,850 postdoc)

NIH FY11 Fiscal Policy – Additional Details

- ▶ **NIH Fiscal Policy for Grant Awards – FY 2011**

<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-068.html>

- ▶ **Ruth L. Kirschstein National Research Service Award (NRSA) Stipends, Tuition/Fees and Other Budgetary Levels Effective for Fiscal Year 2011**

<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-067.html>

- ▶ **NIH Extramural Financial Operations (*Includes specific funding strategies for***

***Institutes/Centers*)** <http://grants.nih.gov/grants/financial/index.htm>

Coeus Update

- ▶ Version 4.4.3 is up and running
- ▶ “Server Error: Could Not Save budget Details”-this is a “fake” error message and your budget details should be saved. This message has been corrected in Version 4.4.4.
- ▶ Version 4.4.4 is now being tested at UMB.

Coeus Tips & Reminders – Entering Budgets with Subcontracts

- ▶ Use all 4 subcontract cost elements for clarity and to ensure accurate review
- ▶ Breaks out the portions of Direct Costs and F&A in the subcontract both less than/equal to \$25,000 and greater than \$25,000
- ▶ Especially important when a direct cost ceiling (i.e. over \$500,000 which requires Institute approval) allows us to exclude subcontractor F&A in calculating our DC
- ▶ Sample Scenario on following pages:
 - ▶ 2 year subcontract
 - ▶ \$90,000 total costs each year (\$60,000 DC, \$30,000 F&A)

Coeus Tips & Reminders – Entering Budgets with Subcontracts – Sample Scenario, Year I

Modify Budget for Proposal 00010721, Version 1

Summary **Period 1** Period 2 Total

Start Date: 01-Sep-2011 End Date: 31-Aug-2012 Cost Limit: \$0.00 No. of Months: 12.0

Total Cost: \$90,000.00 Direct Cost: \$90,000.00 Indirect Cost: \$0.00

Underrecovery: \$0.00 Cost Sharing: \$0.00 Direct Cost Limit: \$0.00

Line	CE	Cost Element Description	Description	Qty	Cost	Start Date	End Date
1	000022	Subcontract <=25k		0.00	\$16,667.00	01-Sep-2011	31-Aug-2012
2	000040	Subcontract <=25k F&A	IDC Rate for Sub is 50% MTDC	0.00	\$8,333.00	01-Sep-2011	31-Aug-2012
3	000023	Subcontract >25k		0.00	\$43,333.00	01-Sep-2011	31-Aug-2012
4	000041	Subcontract >25k F&A	IDC Rate for Sub is 50% MTDC	0.00	\$21,667.00	01-Sep-2011	31-Aug-2012

Year I budget includes amounts both less than/equal to \$25k and over \$25k.

All four subcontract cost elements are used.

Coeus Tips & Reminders – Entering Budgets with Subcontracts – Sample Scenario, Year 2

Modify Budget for Proposal 00010721, Version 1

Summary Period 1 **Period 2** Total

Start Date: 01-Sep-2012 End Date: 31-Aug-2013 Cost Limit: \$0.00 No. of Months: 12.0

Total Cost: \$90,000.00 Direct Cost: \$90,000.00 Indirect Cost: \$0.00

Underrecovery: \$0.00 Cost Sharing: \$0.00 Direct Cost Limit: \$0.00

Line	CE	Cost Element Description	Description	Qty	Cost	Start Date	End Date
1	000023	Subcontract >25k		0.00	\$60,000.00	01-Sep-2012	31-Aug-2013
2	000041	Subcontract >25k F&A		0.00	\$30,000.00	09/01/2012	31-Aug-2013

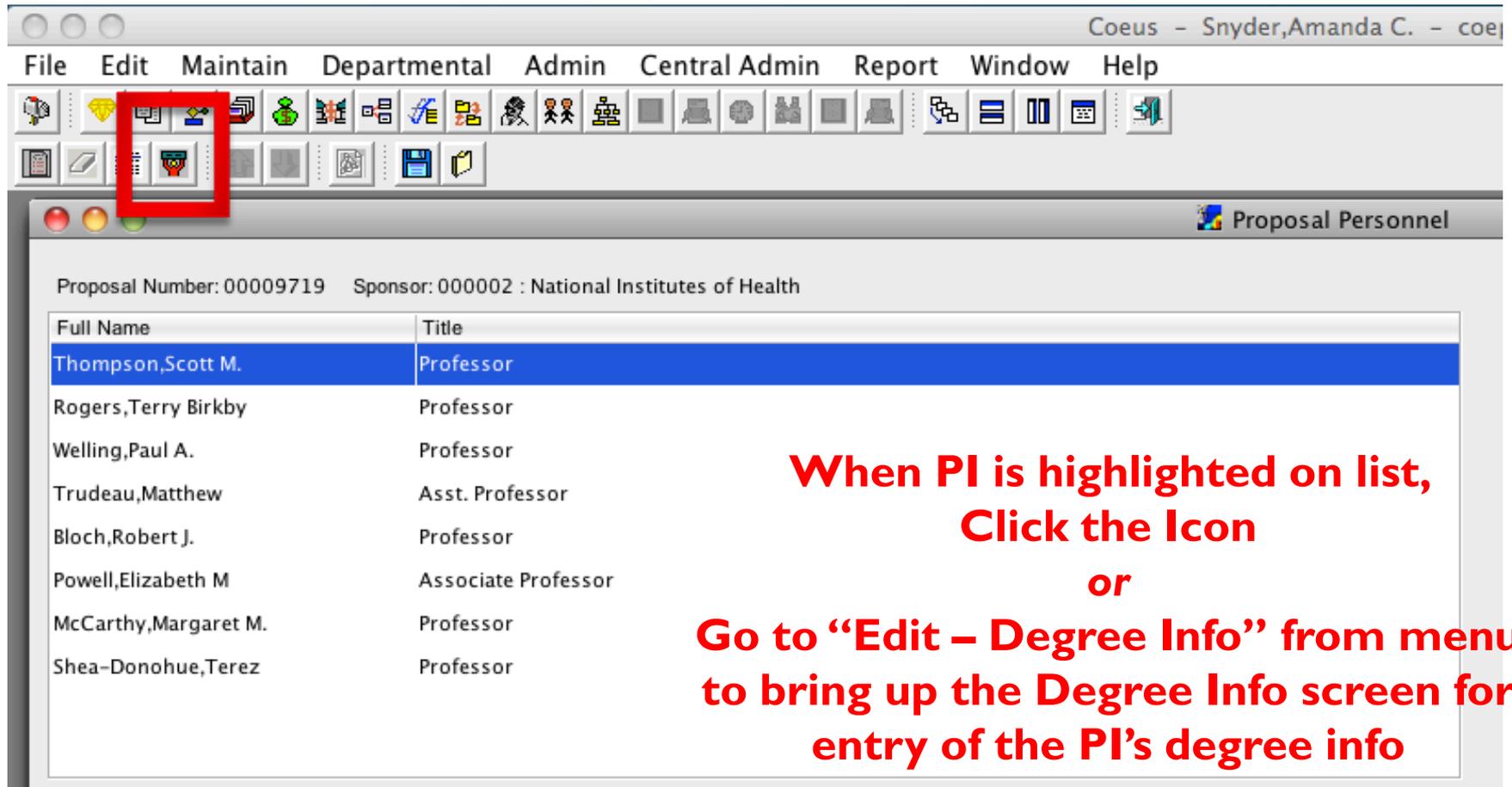
Because the \$25k threshold was hit in year 1, only the cost elements for subcontract greater than \$25k are used in year 2.



Coeus Tips & Reminders – Degree Info

- ▶ Degree Information for System to System submissions
 - ▶ Degree information for PI(s) entered to the Degree Info screen to populate the Key Person form for S2S submissions
 - ▶ Degree information *can* be entered on the Person Detail screen, but this field does not populate the Key Person form but may be included in other areas of a sponsor required form.

Coeus Tips & Reminders – Degree Info

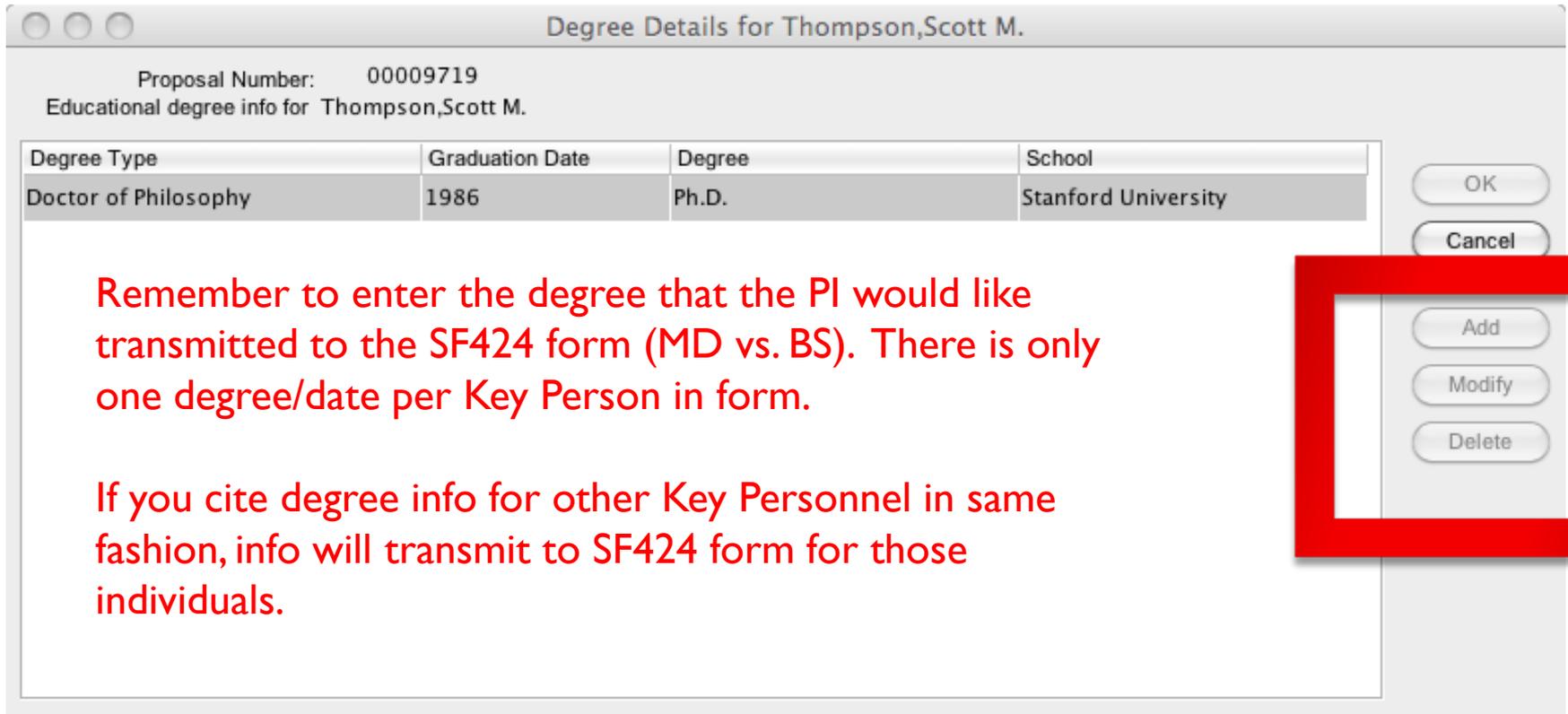


Proposal Number: 00009719 Sponsor: 000002 : National Institutes of Health

Full Name	Title
Thompson, Scott M.	Professor
Rogers, Terry Birkby	Professor
Welling, Paul A.	Professor
Trudeau, Matthew	Asst. Professor
Bloch, Robert J.	Professor
Powell, Elizabeth M	Associate Professor
McCarthy, Margaret M.	Professor
Shea-Donohue, Terez	Professor

**When PI is highlighted on list,
Click the Icon
or
Go to “Edit – Degree Info” from menu
to bring up the Degree Info screen for
entry of the PI’s degree info**

Coeus Tips & Reminders – Degree Info



Proposal Number: 00009719
Educational degree info for Thompson, Scott M.

Degree Type	Graduation Date	Degree	School
Doctor of Philosophy	1986	Ph.D.	Stanford University

Remember to enter the degree that the PI would like transmitted to the SF424 form (MD vs. BS). There is only one degree/date per Key Person in form.

If you cite degree info for other Key Personnel in same fashion, info will transmit to SF424 form for those individuals.

Buttons: OK, Cancel, Add, Modify, Delete



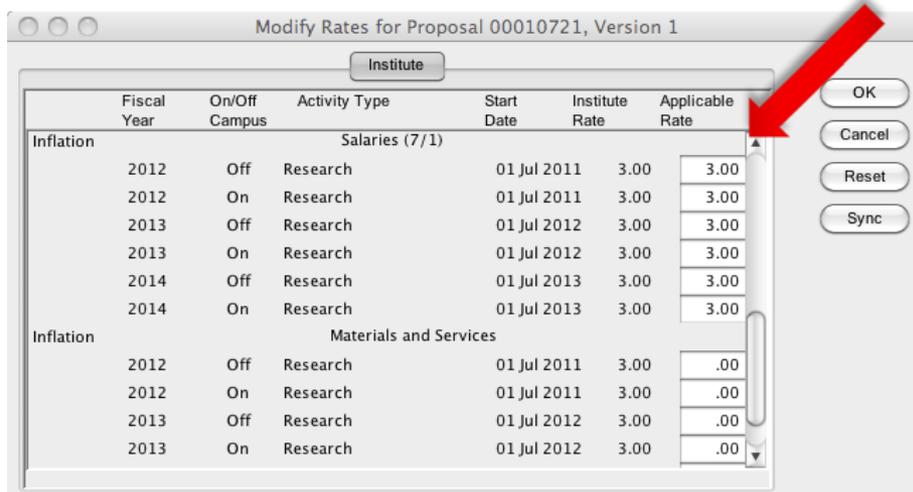
Coeus Tips & Reminders – Degree Info

- ▶ **Remember:** You can add Degree Info for faculty in your department one time (instead of with each proposal).
 - ▶ From the main menu, under “Departmental”, choose “Personnel”.
 - ▶ Search for a person and highlight the name on the resulting list.
 - ▶ From the menu, under “Edit”, choose “Degree Info” (*brings up screen on prior slide*)
 - ▶ Click “Add” and complete the pop-up screen. Click OK to save. Coeus will tell you if any required information is missing. *Note: month/day/year are required for the Graduation Date.*
 - ▶ You may then add further degree information or click OK to save.
- ▶ All proposals created after this entry is saved should be pre-populated with the information you entered.



Coeus Tips & Reminders - Inflation

- ▶ Coeus will calculate inflation in your budgets
- ▶ Remember: Coeus calculates inflation based on UMB Fiscal Year (July 1 start), not based on the proposal's period of performance
- ▶ To access the rate table, click “Edit – Rates” while you are in your Coeus budget



The screenshot shows a dialog box titled "Modify Rates for Proposal 00010721, Version 1". It contains a table with columns: Fiscal Year, On/Off Campus, Activity Type, Start Date, Institute Rate, and Applicable Rate. The table is divided into two sections: "Salaries (7/1)" and "Materials and Services". A red arrow points to the "Applicable Rate" column in the "Salaries (7/1)" section.

Fiscal Year	On/Off Campus	Activity Type	Start Date	Institute Rate	Applicable Rate
Inflation					
Salaries (7/1)					
2012	Off	Research	01 Jul 2011	3.00	3.00
2012	On	Research	01 Jul 2011	3.00	3.00
2013	Off	Research	01 Jul 2012	3.00	3.00
2013	On	Research	01 Jul 2012	3.00	3.00
2014	Off	Research	01 Jul 2013	3.00	3.00
2014	On	Research	01 Jul 2013	3.00	3.00
Inflation					
Materials and Services					
2012	Off	Research	01 Jul 2011	3.00	.00
2012	On	Research	01 Jul 2011	3.00	.00
2013	Off	Research	01 Jul 2012	3.00	.00
2013	On	Research	01 Jul 2012	3.00	.00

To change the inflation rate, enter the desired rate into “Applicable Rate” column.

Can enter different rates for Salary vs. Non-Salary items

If you enter “0” here, no inflation will apply.

Coeus Tips & Reminders - Inflation

- ▶ To apply inflation to some cost elements and not to others in your budget, go into the line item detail screen by double clicking on the line item in the budget (or by choosing “Items – Edit Details” from menu).

Budget - Line Item Detail

Category: On Campus Off Campus

Cost Elm:

Start Date: End Date:

Description:

Cost: Quantity: Apply Inflation:

Cost Sharing: Submit Cost Sharing: Underrecovery:

Rate Class	Rate Type	Apply	Cost	Cost Sharing
MTDC	MTDC	<input checked="" type="checkbox"/>	\$0.00	\$0.00

Click this box to apply or remove inflation from this cost element



Questions and Answers

- ▶ The presentation will be available on both the SPA and SPAC websites.
- ▶ SPA will be providing training for eSuRF and the Coeus subcontract module in the near future. An email providing information will be sent out.
- ▶ Mark your calendars for our 2011 update meetings:
 - ▶ July 28th & October 27th
 - ▶ Meetings held from 3-4:30pm in HSF II Auditorium
- ▶ Thank you for joining us today!

