NIH Public Access Policy: RPPR and MY NCBI

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NIH Public Access Policy

• Researchers must submit final peer-reviewed manuscripts that arise from NIH funds to *PubMed Central (PMC)* upon acceptance for publication.

• Papers must be in PMC no later than 12 months after publication.

• Applies to papers accepted for publication on or after April 7, 2008.
Why Discuss This Now?

• NIH is enforcing compliance with their Public Access Policy by ensuring that all publications in progress reports are in compliance with the Policy before issuing awards

• **This enforcement begins for all grants with 7/1/2013 start dates and beyond**

• These are the progress reports we are preparing for May deadlines

• Applies to both paper and electronic NIH progress reports
NIH Implementation of Research Performance Progress Reports

• OMB mandated that federal agencies implement a federal-wide Research Performance Progress Report (RPPR) for required annual or other interim performance reporting on research grant and cooperative agreement awards.

• Purpose is to standardize recipient reporting on federally-funded research projects.

• After a pilot period, NIH started RPPR use for Streamlined Non-competing Award Process (SNAP) and Fellowship progress reports beginning 10/19/2012.

• **NIH is requiring use of RPPR for SNAP and Fellowship awards with a start date of 7/1/2013 and beyond (due in May)**

• Non-SNAP awards will transition in late 2013 or early 2014
The NIH RPPR

• eSNAP module in NIH Commons becomes RPPR module
• The format is different, but much of the content is similar
  • NIH website has screenshots, FAQ, webinar, guide, and more
• Section C.1 of the RPPR, Publications, requires the PI to link publications to the project
• There is a direct link between the RPPR and My NCBI
**NIH RPPR Publications Section**

**C. Products**

To see all of your publications from MyNCBI and to associate those with this RPPR, the answer to the *Products* question should be *Yes*.

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**NIH Manuscript Submission System Status: Available**

**C.1 Publications**

**Are there publications or manuscripts accepted for publication in a journal or other publication (e.g., book, one-time publication, monograph) during the reporting period resulting directly from this award?**

- **Yes**
- **No**

**If yes, select from the table below to affiliate publications with this progress report.**

**If you need to login to My NCBI account please use this link:** My NCBI

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- **Ability to link to My NCBI**

- **Shows compliance status of publication**
NIH RPPR Publications Section

• If a publication is not in My NCBI, it cannot be added to the RPPR
  – Therefore, if a publication is missing from the list, it must be added to My NCBI
  – After publication is added to My NCBI, it will become available to choose in the RPPR

• A noncompliant publication can be added to the RPPR
  – RPPR can be submitted with a noncompliant publication
  – A warning message will be generated
RPPR with Noncompliant Publications

• If an RPPR is submitted with noncompliant publications:
  – Automated email to PI will contain instructions that the PI needs to follow to complete the Progress Report Additional Materials (PRAM) via the NIH Commons.
  – Only PI can initiate this action in the Commons.
  – Link appears automatically in the Commons when RPPR with noncompliant publications is submitted.
  – PI enters info, routes to SO (SPA contact), and SO submits. This procedure is consistent with most other Commons submissions.
  – Currently, not mandatory to use PRAM for submission of this info. An email to Grants Management will also be acceptable for now.

• NIH will **NOT** issue the next year of the award until all publications are compliant
NIH Progress Reports on PHS 2590

• Paper progress reports (non-SNAP and Fellowships)

• PI can generate a publications list via My NCBI

• NIH will **NOT** issue the next year of the award until all publications are compliant
Managing Compliance with My NCBI

• Using My NCBI’s **My Bibliography**, eRA Commons users are able to:
  – View publications’ compliance status
  – Start compliance process
  – Associate publications to awards

![Icon verifies that an eRA account has been linked to a My NCBI account](image)
Display shows compliance status of publications

- **Non-compliant**
  - Article not from a Method A journal and process for submitting to the NIHMS has not begun. Does not have a PMCID.

- **In process**
  - Article NOT from a Method A journal AND is less than 3 months past publication date. Manuscript has been submitted to NIHMS but does not yet have a PMCID.

- **Compliant**
  - Article has PMCID or has been published in a Method A journal
My NCBI My Bibliography Features

• Start compliance process by clicking on

• Associate grants to citations

• Filter citations by awards, paper/grant associations and more

• Create an award compliance report PDF for your bibliography

• Assign a delegate

Assign Awards to Citations

[Image of PDF saving interface]

Saving as PDF...

[Note: You may leave the values empty if you want to fill them out manually.]

Download PDF
Login Options

The NIH Manuscript Submission allows you to submit an electronic version of your peer-reviewed final manuscript for inclusion in PubMed Central. Eligible manuscripts must have been funded by one of the participating groups listed in the login table below.

Choose a login route:

<table>
<thead>
<tr>
<th>Route</th>
<th>Users</th>
<th>Policy</th>
</tr>
</thead>
<tbody>
<tr>
<td>NIH &amp; eRA Commons</td>
<td>NIH intramural and extramural scientists</td>
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<tr>
<td>publisher</td>
<td>publishers</td>
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<tr>
<td>HHMI</td>
<td>Howard Hughes Medical Institute investigators</td>
<td></td>
</tr>
<tr>
<td>myNCBI</td>
<td>others</td>
<td></td>
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</tbody>
</table>

You should use the same login for all subsequent visits.

NIHMS does not maintain these login routes. If you experience problems with your login, please contact the institution that is responsible for the account.

If you do not have an account, myNCBI allows users to create new accounts; click on the myNCBI route to create one.

If you are a PI, you can register for an eRA Commons account at https://commons.era.nih.gov/commons/registration/registrationInstructions.jsp. For more information on how to use this system to submit your manuscript see User's Guide.
Manuscript List for Andrea Goldstein

**Start Here** | Submit New Manuscript

| Attention (0) | Optional (0) | In process (0) | Stalled (0) | Completed (0) | Published (0) |

**No manuscripts that require your attention**

- I don't see one of my manuscripts.
Compliance Status at UMB

• ~75% compliance rate – national average

• In the past year, 832 articles fall under policy:
  – 619 compliant
  – 26 in process
  – 187 noncompliant

• Since April 2008, 3,908 articles fall under policy:
  – 3,136 compliant
  – 746 non-compliant
HS/HSL Support for Compliance

• Notifying researchers about compliance status

• Questions?
  – Andrea Goldstein agoldste@hshsl.umaryland.edu
  – Alexa Mayo amayo@hshsl.umaryland.edu

• Public Access Policy/Compliance
  http://guides.hshsl.umaryland.edu/nihpolicy
Resources

- NIH Public Access Page on ORD Website: [http://www.ord.umaryland.edu/ord_research/PubAccess.html](http://www.ord.umaryland.edu/ord_research/PubAccess.html)